



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Elana K. Davydova, et al

Group No.:

1652

Serial No.:

10/743,975

Examiner:

Hutson, R. G.

Filed:

12/23/2003

Entitled:

Target-Dependent Transcription Using Deletion Mutants of N4 RNA Polymerase

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 1,

Sir or Madam:

Enclosed please find an Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

A check for \$180.00 is also enclosed pursuant to 37 C.F.R. § 1.17(p) for filing this Information Disclosure Statement after three months as set forth in C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: November 1, 2006

Jason R. Bond

Registration No. 45,439

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 305 San Francisco, California 94105 608/218-6900



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Elana K. Davydova, et al

Group No.:

1652

Serial No.:

10/743,975

12/23/2003

Examiner:

Hutson, R. G.

Filed: Entitled:

Target-Dependent Transcription Using Deletion Mutants of N4 RNA Polymerase

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATIE OF MAILING UNDER 37 CFR § 1.3(a)(1)(1)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Ameridment, Commissioner for Patents, P.Ø. Box 1450, Alexandria, VA 22313-1450.

Date: November 1, 2006

By:

Mary Ellen Waite

Sir or Madam:

The citations listed below may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- U.S. Patent No. 5,021,335
- U.S. Patent No. 5,168,038
- U.S. Patent No. 5,545,522
- U.S. Patent No. 5,514,545
- U.S. Patent No. 5,716,785

11/07/2005 NAGUYZA1 02002009 10745975

01 FC:1601

189.63 02

PATENT Attorney Docket No. EPICEN-09587

- U.S. Patent No. 5,891,636
- U.S. Patent No. 5,958,688
- U.S. Patent No. 6,291,170
- U.S. Patent No. 5,130,238
- U.S. Patent No. 5,194,370
- U.S. Patent No. 5,399,491
- U.S. Patent No. 5,409,818
- U.S. Patent No. 5,437,990
- U.S. Patent No. 5,466,586
- U.S. Patent No. 5,554,517
- U.S. Patent No. 5,665,545
- U.S. Patent No. 6,063,603
- U.S. Patent No. 6,090,591
- U.S. Patent No. 6,100,024
- U.S. Patent No. 6,410,276
- U.S. Patent No. 4,883,750
- U.S. Patent No. 5,242,794
- U.S. Patent No. 5,521,065
- U.S. Patent No. 5,962,223
- U.S. Patent No. 6,054,266
- U.S. Patent No. 4,988,617
- U.S. Patent No. 5,866,337
- U.S. Patent No. 5,871,921
- U.S. Patent No. 5,686,272
- U.S. Patent No. 5,869,252
- U.S. Patent No. 5,494,810
- U.S. Patent No. 5,830,711
- U.S. Patent No. 6,054,564

PATENT Attorney Docket No. EPICEN-09587

- U.S. Patent No. 6,027,889
- U.S. Patent No. 6,268,148
- U.S. Patent No. 6,312,892
- U.S. Patent No. 5,912,148
- U.S. Patent No. 6,130,073
- U.S. Patent No. 6,245,505
- U.S. Patent No. 5,427,930
- U.S. Patent No. 5,792,607
- U.S. Patent No. 5,679,524
- U.S. Patent No. 5,952,174
- U.S. Patent No. 6,025,139
- U.S. Patent No. 6,355,431
- U.S. Patent No. 6,153,384
- U.S. Patent No. 5,976,806
- U.S. Patent No. 5,807,674
- U.S. Patent No. 6,344,329
- U.S. Patent No. 6,210,884
- U.S. Patent No. 6,183,960
- U.S. Patent No. 5,854,033
- U.S. Patent No. 6,329,150
- U.S. Patent No. 6,143,495
- U.S. Patent No. 6,316,229
- U.S. Patent No. 6,287,824
- U.S. Patent No. 5,426,180
- U.S. Patent No. 5,674,683
- U.S. Patent No. 5,714,320
- U.S. Patent No. 5,683,874
- U.S. Patent No. 5,872,105

PATENT Attorney Docket No. EPICEN-09587

- U.S. Patent No. 6,077,668
- U.S. Patent No. 6,096,880
- U.S. Patent No. 6,368,802
- U.S. Patent No. 5,648,245
- U.S. Patent No. 6,274,320
- U.S. Patent No. 6,323,009
- U.S. Patent No. 6,562,575
- U.S. Patent No. 6,221,603
- WO 00/75356
- WO 02/065093
- WO 89/06700
- WO 91/18155
- WO 02/16639
- WO 00/56877
- WO 00/28082
- WO 00/11160
- WO 02/114476
- WO 92/01813
- EP 0427073 A2
- EP 0427074 A2
- EP 0329 822 B1
- EP 0246864 B1
- EP 0320308 A2
- EP 0320308 B1
- WO 89/09835
- EP 0336731 B1
- EP 0357336 A2
- EP 0439182 A2

- JP4304900
- JP4262799
- Murakawa et al., DNA 7:287-295, 1988
- Phillips and Eberwine, Methods in Enzymol. Suppl. 10:283-288, 1996
- Ginsberg et al., Ann. Neurol. 45:174-181, 1999
- Ginsberg et al., Ann. Neurol. 48:77-87, 2000
- VanGelder et al., Proc. Natl. Acad. Sci. USA 87:1663-1667, 1990
- Eberwine et al., Proc. Natl. Acad. Sci USA 89:3010-3014 (1992)
- Kwoh et al., Proc. Natl. Acad. Sci. USA 86:1173, 1989
- Fahy et al, In: PCR Methods and Applications, pp. 25-33, 1991
- Prakash, G. and Kool, E., J. Am. Chem. Soc. 114: 3523-3527, 1992
- Daubendiek, S.L. et al., J. Am. Chem. Soc. 117: 7818-7819, 1995
- Liu, D. et al., J. Am. Chem. Soc. 118: 1587-1594, 1996
- Daubendiek, S.L. and Kool, E.T., Nature Biotechnol., 15: 273-277, 1997
- Diegelman, A.M. and Kool, E.T., Nucleic Acids Res., 26: 3235-3241, 1998
- Diegelman, A.M. and Kool, E.T., Chem. Biol., 6: 569-576, 1999
- Diegelman, A.M. et al., BioTechniques 25: 754-758, 1998
- Frieden, M. et al., Angew. Chem. Int. Ed. Engl. 38: 3654-3657, 1999
- Kool, E.T., Acc. Chem. Res., 31: 502-510, 1998
- Falco, et al., Proc. Natl. Acad. Sci. (USA) 75:3220-3224, 1978
- Glucksmann-Kuis, et al., Cell, 70, 491-500, 1992
- Fire, A. and Xu, S-Q, Proc. Natl. Acad. Sci. USA, 92: 4641-4645, 1995
- Dean et al., Genome Res., 11: 1095-1099, 2001
- Nilsson et al., Nucleic Acids Res., 30 (14): e66, 2002
- Pickering et al. Nucleic Acids Res., 30 (12): e60, 2002
- Haynes, et al., Cell 41:597-605, 1985
- Falco, et al., J. Biol. Chem. 255:4339-4347, 1980
- Glucksmann-Kuis, et al., Cell 84:147-154, 1996

- Dai et al., Genes Devepmnt. 12:2782-2790, 1998
- Davidova, EK and Rothman-Denes, LB, Proc. Natl. Acad. Sci. USA 100:9250-9255,
 2003
- Baner, J et al., (Nucleic Acids Research, 26:5073-5078, 1998
- Markiewicz, et al., Genes and Dev. 6:2010-2019, 1992

Applicants wish to bring to the examiners attention that we are not providing copies of US Patents as instructed under 37 CFR 1.98(a)(2).

Applicants' herewith submit the International Search Report for the corresponding PCT Application No. PCT/US2003/041046.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: November 1, 2006

Jason R. Bond

Registration No. 45,439

MEDLEN & CARROLL, LLP

101 Howard Street, Suite 350

San Francisco, California 94105

608/218-6900

NOV 0 6 2006 PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/743,975			
Filing Date	12/23/2003			
First Named Inventor	Davydova et al.			
Art Unit				
Examiner Name				
Attorney Docket Number	EDICEN-09587			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 6,221,603			whole document
	2	^{US-} 5,021,335	06/04/1991	Tecott, et al.	whole document
	3	^{US-} 5,168,038	12/01/1992	Tecott, et al.	whole document
	4	^{US-} 5,545,522	08/13/1996	Van Gelder, et al.	whole document
	5	^{US-} 5,514,545	05/07/1996	Eberwine	whole document
	6	^{US-} 5,716,785	02/10/1998	Van Gelder, et al.	whole document
	7	^{US-} 5,891,636	04/06/1999	Van Gelder, et al.	whole document
	8	^{US-} 5,958,688	09/28/1999	Eberwine, ET AL.	whole document
	9	^{US-} 6,291,170	09/18/2001	Van Gelder, et al.	whole document
	10	^{US-} 5,130,238	07/14/1992	Malek, et al.	whole document
	11	^{US-} 5,194,370	03/16/1993	Berninger, et al.	whole document
	12	^{US-} 5,399,491	03/21/1995	Kacian, et al.	whole document
	13	^{US-} 5,409,818	04/25/1995	Davey, et al.	whole document
	14	US- 5,437,990	08/01/1995	Burg, et al.	whole document
	15	US- 5,466,586	11/14/1995	Davey, et al.	whole document
	16	US- 5,554,517	09/10/1996	Davey, et al.	whole document
	17	^{US-} 5,665,545	09/09/1997	Malek, et al.	whole document
	18	^{US-} 6,063,603	05/16/2000	Davey, et al.	whole document
	19	^{US-} 6,090,591	07/18/2000	Burg, et al.	whole document

		FORE	IGN PATENT DOCL	IMENTS		
	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	.⊤6
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	·T~
-	20	WO 00/75356	12/14/2000	LIN, et al.	whole document	<u></u>
	21	WO 02/065093	08/22/2002	GINSBERG, et al	whole document	<u> </u>
	22	WO 89/06700	07/27/1989	MILLER, et al.	whole document	
	23	WO 91/18155	11/28/1991	JACOBSEN, et al	whole document	↓
-	24	EP 0427073	05/15/1991	Dattagupta, et	whole document	L
	25	EP 0427074	05/15/1991	Dattagupta, et	whole document	

Examiner	Date	1
	Canaidarad	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known

Substitute for form 1449/PTO	Complete ii laidiii		
Substitute for form 1440% TO	Application Number	10/743,975	
INFORMATION DICCLOSURE	Filing Date	12/23/2003	
INFORMATION DISCLOSURE	First Named Inventor	Davydova et al.	
STATEMENT BY APPLICANT	Art Unit		
(Use as many sheets as necessary)	Examiner Name		
Sheet 2 of 7	Attorney Docket Number	EPICEN-09587	

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	26	^{US-} 6,100,024	08/08/2000	Hudson et al.	whole document
	27	^{US-} 6,410,276	06/25/2002	Burg et al.	whole document
-	28	US- 4,883,750	11/28/1989	Whiteley et al.	whole document
	29	^{US-} 5,242,794	09/07/1993	Whiteley et al.	whole document
<u>.</u>	30	^{US-} 5,521,065	05/28/1996	Whiteley et al.	whole document
	31	US- 5,962,223	10/05/1999	Whiteley et al.	whole document
	32	^{US-} 6,054,266	04/25/2000	Kronick et al.	whole document
-	33	US- 4,988,617	01/29/1991	Landegren et al.	whole document
	34	^{US-} 5,866,337	02/02/1999	Schon	whole document
	35	^{US-} 5,871,921	02/16/1999	Landegren et al.	whole document
	36	^{US-} 5,686,272	11/11/1997	Marshall et al.	whole document
	37	^{US-} 5,869,252	02/09/1999	Bouma et al.	whole document
	38	^{US-} 5,494,810	02/27/1996	Barany et al.	whole document
	39	US- 5,830,711	11/03/1998	Barany et al.	whole document
**	40	us- 6,054,564	04/25/2000	Barany et al.	whole document
	41	US- 6,027,889	02/22/2000	Barany et al.	whole document
	42	^{US-} 6,268,148	07/31/2001	Barany et al.	whole document
	43	^{US-} 6,312,892	11/06/2001	Barany et al.	whole document
	44	^{US-} 5,912,148	06/15/1999	Eggerding	whole document

		FORE	IGN PATENT DOCL	JMENTS		
	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	
	45	WO 02/16639	02/28/2002	Sagawa et al.	whole document	
·····	46	WO 00/56877	09/28/2000	Mukai et al.	whole document	<u> </u>
	47	WO 00/28082	05/18/2000	Notomi et al.	whole document	L
· · · · · · · · · · · · · · · · · · ·	48	WO 00/11160	02/03/2000	Ellis et al.	whole document	L
	49	WO 02/11447	02/07/2002	SOUNDARARAJAN	whole document	L
	50	WO 92/01813	02/06/1992	Ruth et al.	whole document	

<u> </u>	Date	
Examiner		
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO Application Number 10/743,975 Filing Date 12/23/2003 INFORMATION DISCLOSURE First Named Inventor Davydova et al. STATEMENT BY APPLICANT Art Unit (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number **EPICEN-09587**

Sheet 3

of |7

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	51	^{US-} 6,130,073	10/10/2000	Eggerding	whole document
	52	^{US-} 6,245,505	06/12/2001	Todd et al.	whole document
	53	^{US-} 5,427,930	06/27/1995	Birkenmeyer et al.	whole document
	54	^{US-} 5,792,607	08/11/1998	Backman et al.	whole document
	55	^{US-} 5,679,524	10/21/1997	Nikiforov et al.	whole document
	56	^{US-} 5,952,174	09/14/1999	Nikiforov et al.	whole document
	57	^{US-} 6,025,139	02/15/2000	Yager et al.	whole document
_	58	^{US-} 6,355,431	03/12/2002	Chee et al.	whole document
	59	^{US-} 6,153,384	11/28/2000	Lynch et al.	whole document
	60	^{US-} 5,976,806	11/02/1999	Mahajan et al.	whole document
	61	^{US-} 5,807,674	09/15/1998	Tyagi	whole document
	62	^{US-} 6,344,329	02/05/2002	Lizardi	whole document
	63	^{US-} 6,210,884	04/03/2001	Lizardi	whole document
	64	^{US-} 6,183,960	02/06/2001	Lizardi	whole document
	65	US- 5,854,033	12/29/1998	Lizardi	whole document
	66	^{US-} 6,329,150	12/11/2001	Lizardi et al.	whole document
	67	^{US-} 6,143,495	11/07/2000	Lizardi et al.	whole document
	68	^{US-} 6,316,229	11/13/2001	Lizardi et al.	whole document
	69	^{US-} 6,287,824	09/11/2001	Lizardi	whole document

		FORE	IGN PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Γ'
	70	WO 89/09835	10/19/1989	Orgel	whole document	
	71	EP 0329822 B1	06/08/1994	Davey et al.	whole document	
	72	EP 0246864 A2	07/13/1994	carr et al.	whole document	
	73	EP 0246864 B2	07/13/1994	carr et al.	whole document	
	74	EP 0320308 A2	11/03/1988	Backman et al.	whole document	
· · · · · · · · · · · · · · · · · · ·	75	EP 0320308 B1	11/03/1988	Backman et al.	whole document	

Examiner Date	
Signature Cons	ered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO 10/743,975 **Application Number** Filing Date 12/23/2003 INFORMATION DISCLOSURE First Named Inventor Davydova et al. STATEMENT BY APPLICANT Art Unit (Use as many sheets as necessary) **Examiner Name** EPICEN-09587 Attorney Docket Number

Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (f known)} US- 5,426,180	06/20/1995	Kool	whole document
	76	^{US-} 5,674,683	10/07/1997	Kool	whole document
	77	^{US-} 5,714,320	02/03/1998	Kool	whole document
	78	^{US-} 5,683,874	11/04/1997	Kool	whole document
. •	79	^{US-} 5,872,105	02/16/1999	Kool ·	whole document
	80	^{US-} 6,077,668	06/20/2000	Kool	whole document
	82	^{US-} 6,096,880	08/01/2000	kool	whole document
	83	^{US-} 6,368,802	04/09/2002	Kool	whole document
	84	^{US-} 5,648,245	07/15/1997.	Fire et al.	whole document
-	85	^{US-} 6,274,320	08/14/2001	Rothberg et al.	whole document
	86	^{US-} 6,323,009	11/27/2001	Lasken et al.	whole document
	87	^{US-} 6,562,575	05/13/2003	Dahl	whole document
	88	^{US-} 6,221,603	04/24/2001	Mahtani	whole document
		US-			
•		US-		·	
		US-			
		US-		•	
		US-			
		US-			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	<u> </u>		
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				Τ°		
	89	EP 0336731 B1	05/11/1994	Wallace	whole document			
	90	EP 0357336 A2	10/05/1994	Ullman et al.	whole document			
	91	EP 0439182 A2	04/24/1996	Backman et al.	whole document	L		
	92	EP 0439182 A2	04/24/1996	Backman et al.	whole document			
	93	JP4262799			whole document			
	94	JP4304900			whole document			

	<u> </u>
Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Index the Panerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

				Complete if Known			
Substitu	te for form 1449/PTO			Application Number	10/743,975		
INF	ORMATION	N DIS	CLOSURE	Filing Date	12/23/2003		
STA	TEMENT	BY A	PPLICANT	First Named Inventor	Davydova et al.		
		•		Art Unit			
	(Use as many sh	eets as n	iecessary)	Examiner Name			
Sheet	5	of	7	Attorney Docket Number	EPICEN-09587		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	95	Murakawa et al., DNA 7:287-295, 1988	
	96	Phillips and Eberwine, Methods in Enzymol. Suppl. 10:283-288, 1996	
	97	Ginsberg et al., Ann. Neurol. 45:174-181, 1999	
	98	Ginsberg et al., Ann. Neurol. 48:77-87, 2000	
	99	Van Gelder et al., PNAS 87:1663-1667, 1990	
	100	Eberwine et al., Proc. Natl. Acad. Sci. USA 89:3010-3014, 1992	
	101	Kwoh et al., Proc. Natl. Acad. Sci. USA 86:1173, 1989	
	102	Fahy et al, In: PCR Methods and Applications, pp. 25-33, 1991	
	103	Prakash, G. and Kool, E., J. Am. Chem. Soc. 114: 3523-3527, 1992	
	104	Daubendiek, S.L. et al., J. Am. Chem. Soc. 117: 7818-7819, 1995	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	te for form 1449/PTO			Complete if Known		
Substitut	le 10/10/11/1443/F10			Application Number	10/743,975	
INFO	ORMATION	DIS	CLOSURE	Filing Date	12/23/2003	
STA	TEMENT B	BY A	PPLICANT	First Named Inventor	Davydova et al.	
				Art Unit		
	(Use as many she	ets as n	ecessary)	Examiner Name		
Sheet	6	of	7	Attorney Docket Number	EPICEN-09587	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	105	Liu, D. et al., J. Am. Chem. Soc. 118: 1587-1594, 1996	
	106	Daubendiek, S.L. and Kool, E.T., Nature Biotechnol., 15: 273-277, 199	
	107	Diegelman, A.M. and Kool, E.T., Nucleic Acids Res., 26: 3235-3241, 1998	
	108	Diegelman, A.M. and Kool, E.T., Chem. Biol., 6: 569-576, 1999	
	109	Diegelman, A.M. et al., BioTechniques 25: 754-758, 1998	
	100	Frieden, M. et al., Angew. Chem. Int. Ed. Engl. 38: 3654-3657, 1999	
	111	Kool, E.T., Acc. Chem. Res., 31: 502-510, 1998	
	112	Falco, et al., Proc. Natl. Acad. Sci. (USA) 75:3220-3224, 197	
	113	Glucksmann-Kuis, et al., Cell, 70, 491-500, 1992	
	114	Fire, A. and Xu, S-Q, Proc. Natl. Acad. Sci. USA, 92: 4641-4645, 1995	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

			cor roos, no persone a	Complete if Known		
Substitut	te for form 1449/PTO			Application Number	10/743,975	
INFO	ORMATION	DIS	CLOSURE	Filing Date	12/23/2003	
STA	TEMENT B	Y A	PPLICANT	First Named Inventor	Davydova et al.	
			_	Art Unit		
	(Use as many she	ets as n	ecessary)	Examiner Name		
Sheet	7	of	7	Attorney Docket Number	EPICEN-09587	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	115	Dean et al., Genome Res., 11: 1095-1099, 2001	
	116	Nilsson et al., Nucleic Acids Res., 30 (14): e66, 2002	
	117	Pickering et al. Nucleic Acids Res., 30 (12): e60, 2002	
	118	Haynes, et al., Cell 41:597-605, 1985	
	119	Falco, et al., J. Biol. Chem. 255:4339-4347, 1980	
	120	Glucksmann-Kuis, et al., Cell 84:147-154, 1996	
	121	Dai et al., Genes Devepmnt. 12:2782-2790, 1998	
	122	Davidova, EK and Rothman-Denes, LB, Proc. Natl. Acad. Sci. USA 100:9250-9255, 2003	
	123	Baner, J et al., (Nucleic Acids Research, 26:5073-5078, 1998	
	124	Markiewicz, et al., Genes and Dev. 6:2010-2019, 1992	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.